Booking Rules for Entity1JP

These booking rules are essential guidelines that must be strictly followed when utilizing this entity. Failure to adhere to these rules could result in a loss of trading access. Any breach of these rules must be promptly reported and escalated to compliance, the trading desk, and front office supervision to ensure that corrective actions are taken promptly. It is crucial to uphold these rules to maintain the integrity and security of our trading operations.

**Authorized Traders**

All traders based in Japan are authorized traders in Entity1JP.

Traders physically based in other regions are not authorized to trade using Entity1JP.

**Approved Counterparties**

Entity1JP has onboarded and approved for trading with all counterparties domiciled in Japan, regardless of client classification. Entity1JP is also able to trade with all other Entities.

**Approved Currencies**

Currencies approved for trading are in the below.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **Currency** | **Currency** | **Approval** | **Restricted Currency?** | **Comment** |
| 1 | AED | United Arab Emirates Dirham | Not Approved |  |  |
| 2 | AUD | Australian Dollar | Approved |  |  |
| 3 | BGN | Bulgarian Lev | Not Approved |  |  |
| 4 | BRL | Brazilian Real | Not Approved | Yes |  |
| 5 | CAD | Canadian Dollar | Approved |  |  |
| 6 | CHF | Swiss Franc | Approved |  |  |
| 7 | CNH | Chinese Yuan Offshore | Approved |  |  |
| 8 | CNY | Chinese Yuan | Approved | Yes | Non-Deliverable Only |
| 9 | CZK | Czech Koruna | Approved |  |  |
| 10 | DKK | Danish Krone | Not Approved |  |  |
| 11 | EGP | Egyptian Pound | Not Approved |  |  |
| 12 | EUR | Euro | Approved |  |  |
| 13 | GBP | British Pound | Approved |  |  |
| 14 | GHS | Ghanaian Cedi | Not Approved |  |  |
| 15 | HKD | Hong Kong Dollar | Approved |  |  |
| 16 | HUF | Hungarian Forint | Not Approved |  |  |
| 17 | IDR | Indonesian Rupiah | Approved | Yes | Non-Deliverable Only |
| 18 | ILS | Israeli Shekel | Not Approved |  |  |
| 19 | INR | Indian Rupee | Not Approved | Yes | Non-Deliverable Only |
| 20 | JPY | Japanese Yen | Approved |  |  |
| 21 | KRW | South Korean Won | Not Approved | Yes | Non-Deliverable Only |
| 22 | KWD | Kuwaiti Dinar | Not Approved |  |  |
| 23 | KZT | Kazakhstani Tenge | Not Approved |  |  |
| 24 | MAD | Moroccan Dirham | Not Approved |  |  |
| 25 | MXN | Mexican Peso | Approved |  |  |
| 26 | MYR | Malaysian Ringgit | Not Approved | Yes |  |
| 27 | NOK | Norwegian Krone | Approved |  |  |
| 28 | NZD | New Zealand Dollar | Approved |  |  |
| 29 | PHP | Philippine Peso | Approved | Yes | Non-Deliverable Only |
| 30 | PLN | Polish Zloty | Approved |  |  |
| 31 | QAR | Qatari Riyal | Not Approved |  |  |
| 32 | RON | Romanian Leu | Not Approved |  |  |
| 33 | RUB | Russian Ruble | Approved |  | Non-Deliverable Only |
| 34 | SAR | Saudi Riyal | Not Approved |  |  |
| 35 | SEK | Swedish Krona | Approved |  |  |
| 36 | SGD | Singapore Dollar | Approved |  |  |
| 37 | THB | Thai Baht | Approved | Yes | Non-Deliverable Only |
| 38 | TRY | Turkish Lira | Approved |  |  |
| 39 | TWD | New Taiwan Dollar | Approved | Yes | Non-Deliverable Only |
| 40 | UGX | Ugandan Shilling | Not Approved |  |  |
| 41 | USD | US Dollar | Approved |  |  |
| 42 | VND | Vietnamese Dong | Not Approved | Yes |  |
| 43 | XAG | Silver | Not Approved |  |  |
| 44 | XAU | Gold | Not Approved |  |  |
| 45 | ZAR | South African Rand | Approved |  |  |
| 46 | ZMW | Zambian Kwacha | Not Approved |  |  |

**Approved Products**

Products approved for trading are below.

|  |  |  |  |
| --- | --- | --- | --- |
| **Credit Products** | | **Approval** | **Comment** |
|  | Index | Approved |  |
|  | OTC Exotic | Approved |  |
|  | Single Name | Approved |  |
|  | Swaption | Approved |  |
| **Rates** | |  |  |
|  | IRS | Approved |  |
|  | Cross Currency | Approved |  |
|  | FRA | Approved |  |
|  | Bond Option | Approved |  |
|  | OTC Exotic | Approved |  |
|  | Swaption | Approved |  |
|  | Total Return Swap | Approved |  |
| **FX** | |  |  |
|  | Spot | Approved |  |
|  | Forward | Approved |  |
|  | Swap | Approved |  |
|  | NDF | Approved |  |
|  | NDO | Approved |  |
|  | Vanilla | Approved |  |
|  | Asian Option | Approved |  |
|  | Simple Exotic | Approved |  |
|  | Complex Exotic | Approved |  |
| **Commodity** | |  |  |
|  | Physical Delivered | Not Approved |  |
| **Equity** | |  |  |
|  | Cash | Approved |  |
|  | OTC Derivatives | Approved |  |
| **Listed Futures and Options** | |  |  |
|  | Futures | Approved |  |
|  | Options | Approved |  |
| **Loan** | |  |  |
|  | Loans | Approved |  |